

SUBRECIPIENT ORGANIZATIONAL CONFLICT OF INTEREST AFFIRMATIONS AND DISCLOSURE

Proposers are required to submit an OCI mitigation plan that identifies and discloses all facts relevant to potential OCIs involving the *proposer’s organization* and *any proposed team member* (including proposed subproposers). Although the Federal Acquisition Regulation (FAR) does not apply to OTs or Cooperative Agreements, ARPA-H requires OCIs be addressed in the same manner prescribed in FAR subpart 9.5. Regardless of whether the proposer has identified potential OCIs under this section, the proposer is responsible for providing a disclosure with its proposal. The disclosure must include the proposers, and as applicable, proposed team members’ OCI mitigation plans. The OCI mitigation plan(s) must include a description of the actions the proposer has taken, or intends to take, to prevent the existence of conflicting roles that might bias the proposer’s judgment and to prevent the proposer from having unfair competitive advantage. The OCI mitigation plan will specifically discuss the disclosed OCI in the context of each of the OCI limitations outlined in FAR 9.505-1 through FAR 9.505-4. For Stony Brook University to meet this reporting requirement in the application, please complete and sign below.

Proposed Subrecipient Organization Name: _____

Organizational Conflict(s) of Interest (if no OCI, enter **NONE**):

Organization or Individual’s Name	Mitigating Action Proposed or Taken in accordance with §200.112 and §200.318*

*If a mitigation plan is attached, enter ATTACHED

By signing below, you are affirming that you have the authority to sign on behalf of your institution and the information provided is accurate to the best of your knowledge. If a OCI is reported to or discovered after submission of this form, it should be reported to Stony Brook University as soon as practical.

Signature: _____

Printed Name and Title: _____

Date: _____

AGENCY SUPPLEMENTAL OCI POLICY

ARPA-H *restricts* performers from concurrently providing professional support services, including, Advisory and Assistance Services or Science, Engineering, and Technical Assistance support services, and being a technical performer. Therefore, as part of the FAR 9.5 disclosure requirement above, a proposer must affirm whether the proposer or any proposed team member (proposed subawardee, etc.) is providing professional support services to any ARPA-H office(s) under: (a) a current award or subaward; or (b) a past award or subaward that ended within one calendar year prior to the proposal's submission date.

If any professional support services are being or were provided to any ARPA-H office(s), the proposal must include:

- The name of the ARPA-H office receiving the support;
- The prime contract number;
- Identification of proposed team member (proposed subproposer) providing the support; and
- An OCI mitigation plan in accordance with FAR 9.5.

For Stony Brook University to meet this reporting requirement in the application, please complete and sign below.

Proposed Subrecipient Organization Name: _____

Agency Supplemental OCI Information (if no supplemental OCI, enter **NONE**):

ARPA-H Office	Contract Number	Team Member Name	Mitigation Plan*

*If a mitigation plan is attached, enter ATTACHED

By signing below, you are affirming that you have the authority to sign on behalf of your institution and the information provided is accurate to the best of your knowledge.

Signature: _____

Printed Name and Title: _____

Date: _____